

# Error Exponents of Typical Random Codes

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# Typical Random Codes

Traditional random coding error exponents are defined as

$$E_r(R) = \lim_{n \rightarrow \infty} \left[ -\frac{\ln \mathbf{E} P_e(\mathcal{C}_n)}{n} \right].$$

We define **typical-code error exponents** as

$$E_{\text{typ}}(R) = \lim_{n \rightarrow \infty} \left[ -\frac{\mathbf{E} \ln P_e(\mathcal{C}_n)}{n} \right].$$

- By Jensen's inequality,  $E_{\text{typ}}(R) \geq E_r(R)$ .
- $E_r(R)$  – dominated by **bad** codes;  $E_{\text{typ}}(R)$  – dominated by **typical** codes.

Let  $\mathcal{G}_E = \{\mathcal{C}_n : P_e(\mathcal{C}_n) \doteq e^{-nE}\}$ .

$$\overline{P_e(\mathcal{C}_n)} \doteq \sum_E P(\mathcal{G}_E) \cdot e^{-nE} \doteq P(\mathcal{G}_{E^*}) \cdot e^{-nE^*}.$$

Otoh,  $E_{\text{typ}}(R) = \sum_E P(\mathcal{G}_E) \cdot E = E_0$ , where  $P[\mathcal{G}_{E_0}] \rightarrow 1$ .

# Motivation

- $E_{\text{typ}}(R)$  is never worse than  $E_r(R)$ .
- Code selected once and for all: no LLN to support  $\mathbf{E}P_e(\mathcal{C}_n)$ .
- Once selected, w.h.p.  $P_e(\mathcal{C}_n) \sim e^{-nE_0}$ , forever.
- Theoretical framework for random-like codes (Battail, 1995).
- Analogy: physics of disordered sys. – quenched vs. annealed average.

Q: With all these motivations, why wasn't it explored much more before?

A: Not so easy to analyze (also in physics) ....

# Related Work

- Barg & Forney (2002): i.i.d. random coding, BSC:

$$\text{At low rates: } E_{\text{typ}}(R) = E_{\text{ex}}(2R) + R.$$

- Nazari (2011); Nazari, Anastasopoulos & Pradhan (2014):

upper and lower bounds for the  $\alpha$ -decoder.

- Stat. phys. literature: Kabashima (2008), Mora & Riviore (2006), ...:

LDPC codes - replica analysis and cavity method.

- Battail (1995):

random-like codes.

# Contributions

We derive the **exact** typical–code error exponent for a class of stochastic decoders,

$$P(\hat{m} = m | \mathbf{y}) \propto \exp\{ng(\hat{P}_{\mathbf{x}_m} | \mathbf{y})\},$$

e.g.,  $g(Q_{XY}) = \beta \mathbf{E}_Q \ln W'(Y|X)$ ,  $g(Q_{XY}) = \beta \cdot \alpha(Q_{XY})$ ,  $g(Q_{XY}) = \beta I_Q(X; Y)$ .

Extending Barg & Forney (2002) in several directions:

- General DMC is considered, not merely the BSC.
- Covering a wider family of decoders.
- Ensemble of **constant composition codes** – optimal PI distribution.
- Relation to expurgated exponent – for all  $R$  and a general decoder.
- The analysis technique is applicable also to more general scenarios.

# Main Result

Let

$$\alpha(R, Q_Y) = \sup[g(Q_{XY}) - I_Q(X; Y)] + R,$$

where supremum is over  $\{Q_{X|Y} : I_Q(X; Y) \leq R, Q_X = P_X\}$ .

$$\begin{aligned} \Gamma(Q_{XX'}, R) &= \inf_{Q_{Y|XX'}} \{D(Q_{Y|X} \| W | P_X) + I_Q(X'; Y|X) + \\ &\quad [g(Q_{XY}) \wedge \alpha(R, Q_Y) - g(Q_{X'Y})]_+\}. \end{aligned}$$

**Theorem:** The typical error exponent is

$$E_{\text{typ}}(R) = \inf\{\Gamma(Q_{XX'}, R) + I_Q(X; X')\} - R,$$

where the infimum is over  $\{Q_{XX'} : I_Q(X; X') \leq 2R, Q_X = Q_{X'} = P_X\}$ .

# ML Decoding

In ML decoding: minimization s.t.

$$\mathbf{E}_Q \ln W(Y|X') \geq \max\{\mathbf{E}_Q \ln W(Y|X), D(R, Q_Y)\},$$

$$D(R, Q_Y) = \sup\{\mathbf{E}_Q \ln W(Y|X'') : I_Q(X''; Y) \leq R, (Q_Y \times Q_{X''|Y})_X = P_X\},$$

being the **typical highest score of an incorrect message**.

This is **not a union bound of pairwise error events**.

# Relation to Expurgated Exponent

Defining

$$E_0(R, S) = \inf\{\Gamma(Q_{XX'}, S) + I_Q(X; X')\} - R,$$

the infimum being over  $\{Q_{XX'} : I_Q(X; X') \leq R, Q_X = Q_{X'} = P_X\}$ , we have for all  $R$ :

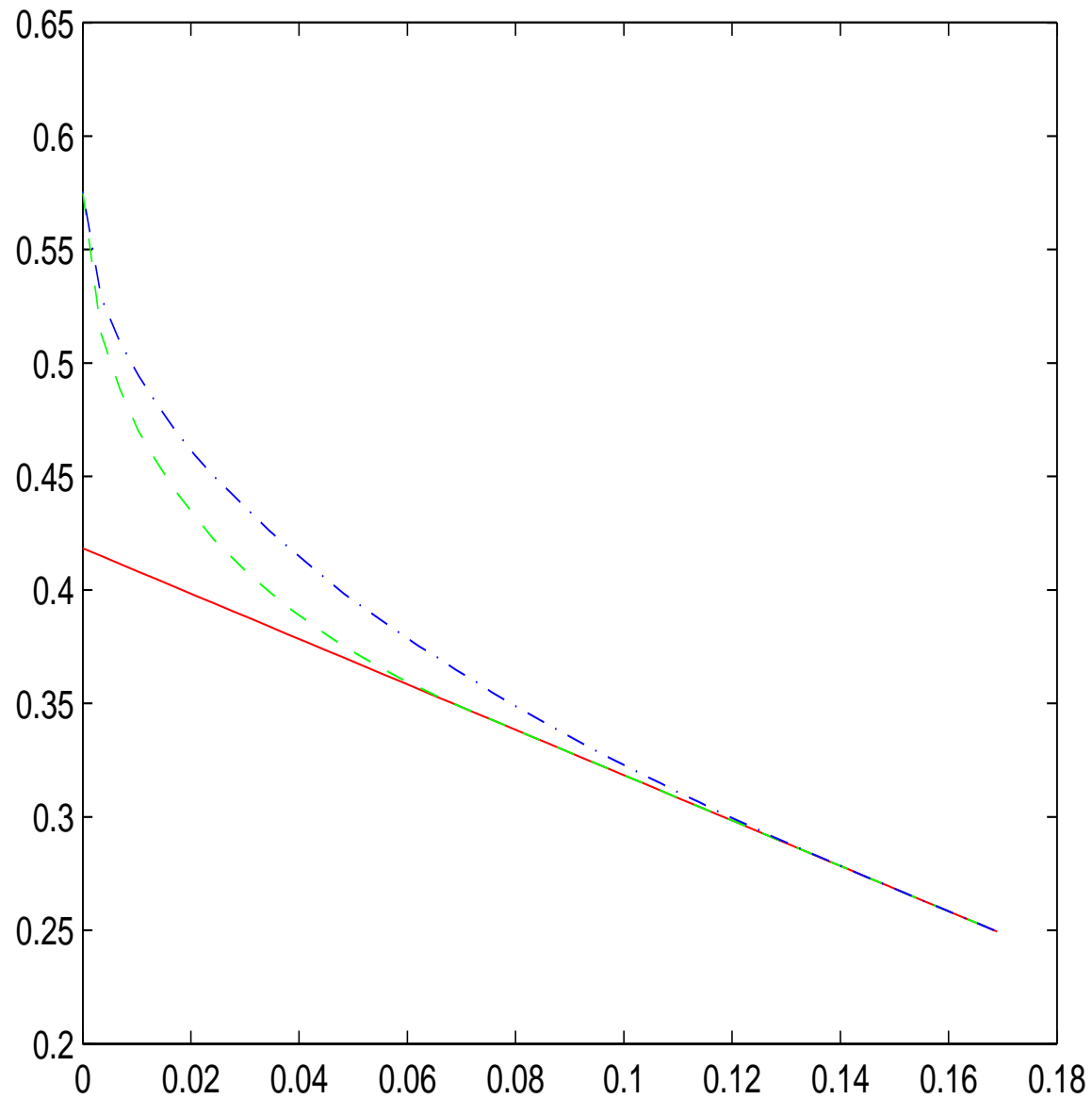
$$E_{\text{ex}}(R) = E_0(R, R); \quad E_{\text{typ}}(R) = E_0(2R, R) + R.$$

In general,

$$E_{\text{typ}}(R) \leq E_{\text{ex}}(2R) + R,$$

because here the expurgated exponent is improved.





rand. coding, expurg. and typical exponents for z-channel w. crossover 0.1.

# A Few Words on the Analysis

- Using the identity  $\mathbf{E} \ln P_e(\mathcal{C}) = \lim_{\rho \rightarrow \infty} \rho \ln \mathbf{E}[P_e(\mathcal{C})]^{1/\rho}$ .
- Using the method of type class enumerators.
- Main idea behind the analysis: handling **summations of exponentially many fractions with random denominators** – exploit **concentration properties**.

$$\mathbf{E} \left[ \frac{1}{M} \sum_m \sum_{m' \neq m} \sum_{\mathbf{y}} P(\mathbf{y} | \mathbf{X}_m) \cdot \frac{e^{ng(\mathbf{X}_{m'}, \mathbf{y})}}{e^{ng(\mathbf{X}_m, \mathbf{y})} + \sum_{\tilde{m} \neq m} e^{ng(\mathbf{X}_{\tilde{m}}, \mathbf{y})}} \right]^\rho.$$

In particular, with **very high probability**,

$$\sum_{\tilde{m} \neq m} e^{ng(\mathbf{X}_{\tilde{m}}, \mathbf{y})} \geq e^{n\alpha(R, \hat{P}\mathbf{y})}.$$

- Showing that the reversed inequality holds for most terms w.h.p.

# Other Applications

The same techniques are applicable in other scenarios:

- List decoding (fixed list size): involves the notion of [multi-information](#).
- Decoding with an erasure option.

The details are in the paper.

# Future Directions

- Analogues in source coding (e.g., Slepian–Wolf).
- Source–channel coding.
- Multi-user situations: MAC, BC, etc.
- Other (more structured) ensembles: allowing dependencies.
- Universal decoding.
- Continuous alphabets (Gaussian channel).