

# Encoding Individual Source Sequences for the Wiretap Channel

Neri Merhav

The Viterbi Faculty of Electrical and Computer Engineering  
Technion – Israel Institute of Technology  
Haifa 3200003, Israel

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# Objectives

- ♠ Fundamental limits for transmitting **individual sequences** over the WTC.
- ♠ Fundamental limits on the amount of local randomness at the encoder.
- ♠ Extension: side info at the decoder with leakage to the wiretapper.

# Related Work

## The WTC

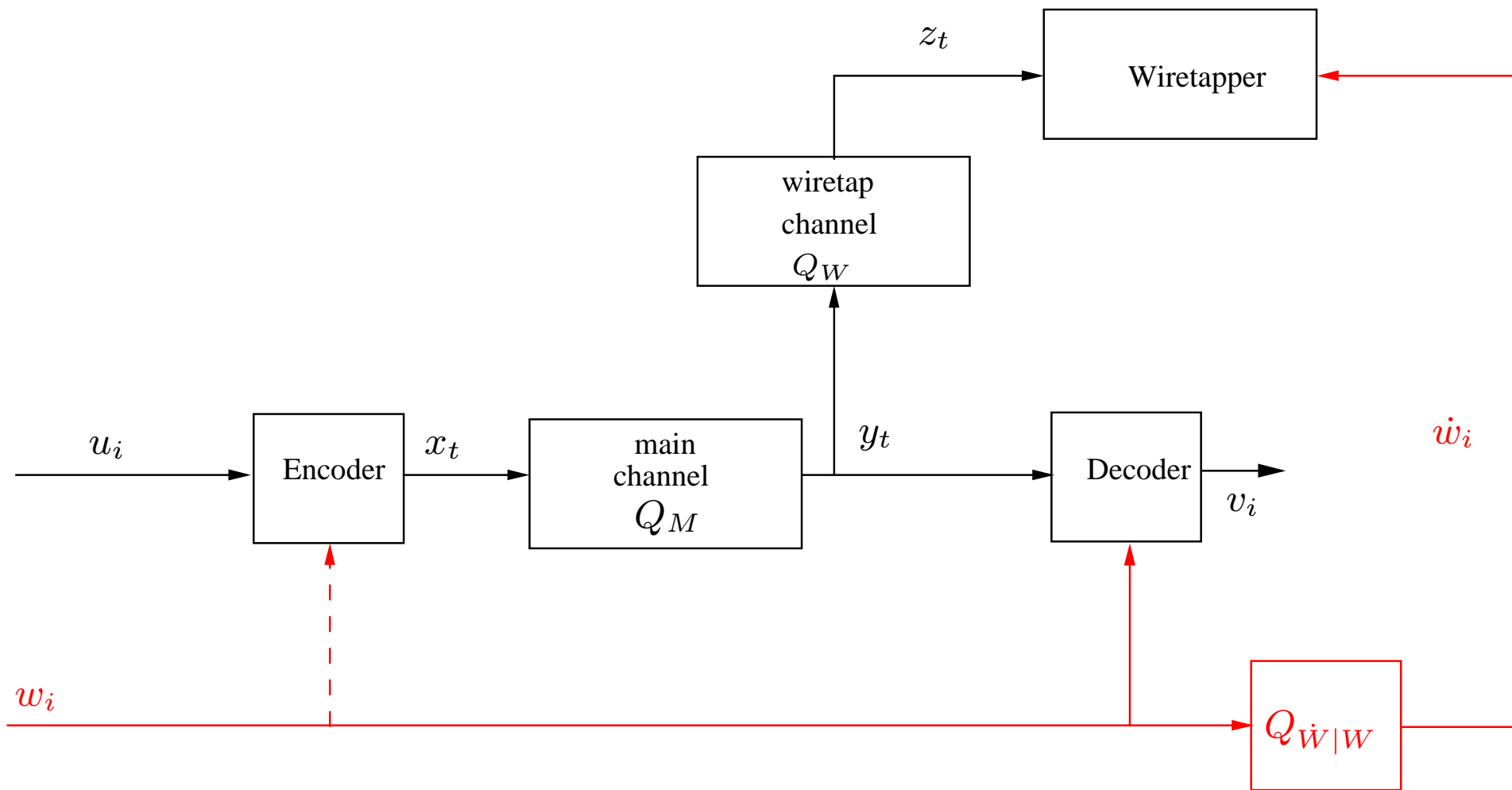
- ♣ Wyner (1975): degraded BC; secrecy capacity.
- ♣ Csiszár & Korner (1978): general broadcast channels.
- ♣ Leung-Yan-Cheong & Hellman (1978): The Gaussian WTC.
- ♣ Ozarow & Wyner (1985): WTC type II.
- ♣ Yamamoto (1989): secret sharing with two channels.
- ♣ Yamamoto (1997): rate–distortion + private key.

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## Individual Sequences

- ♣ Ziv (1978): coding thms for individual sequences.
- ♣ Ziv (1980): rate-distortion for individual sequences.
- ♣ Ziv (1984): same + side info.
- ♣ Merhav (2013): encryption for individual sequences.
- ♣ Merhav (2014): data processing thms for individual sequences.

# The Model



# The Model (Cont'd)

Finite-state stochastic encoder

$$\Pr\{X_{im+1}^{im+m} = x^m | u_{ik+1}^{ik+k} = u^k, s_i^e = s\} = P(x^m | u^k, s), \quad i = 0, 1, 2, \dots$$

$$s_{i+1}^e = h(u_{ik+1}^{ik+k}, s_i^e) \quad i = 0, 1, 2, \dots$$

Finite-state decoder

$$v_{ik+1}^{ik+k} = f(y_{im+1}^{im+m}, s_i^d)$$

$$s_{i+1}^d = g(y_{im+1}^{im+m}, s_i^d).$$

$$|\mathcal{S}^e| = q_e, \quad |\mathcal{S}^d| = q_d$$

The bandwidth expansion factor (BEF):

$$\lambda = \frac{m}{k}.$$

# System Requirements

Reliability

$$P_b \triangleq \frac{1}{k} \sum_{i=1}^k \Pr\{V_i \neq u_i\} \leq \epsilon_r$$

Security

$$\max_{\mu} I_{\mu}(U^n; Z^N) \leq n\epsilon_s, \quad N = n\lambda$$

# Lempel-Ziv (LZ) Complexity

The **incremental parsing procedure** sequentially parses  $u^n$  into distinct phrases, such that each new phrase is the shortest string that has not been obtained before as a phrase.

Let  $c(u^n)$  denote the number of resulting phrases. For example, if

$$u^{10} = (0000110110)$$

then incremental parsing yields

$$(0, 00, 01, 1, 011, 0)$$

and so,  $c(u^{10}) = 6$ .

We define the **LZ complexity** of  $u^n$ , as

$$\rho_{LZ}(u^n) \triangleq \frac{c(u^n) \log c(u^n)}{n}$$

# Converse Bound

**Theorem:** If  $\exists$  stochastic encoder with  $q_e$  states and a decoder with  $q_d$  states that satisfy the reliability constraint and the security constraint, then

$$\lambda \geq \frac{\rho_{LZ}(u^n) - \Delta(\epsilon_r) - \epsilon_s - \zeta_n(q_d, k)}{C_s}, \quad (1)$$

where

$$\Delta(\epsilon_r) \triangleq h_2(\epsilon_r) + \epsilon_r \cdot \log(\alpha - 1), \quad (2)$$

and

$$\zeta_n(q_d, k) \rightarrow 0$$

for fixed  $q_d$  and  $k$ .



# Discussion

- ♣ Irrelevance of  $q_e$ .
- ♣ Decay rate of  $\zeta_n(q_d, k)$  is slow.
- ♣ Achievability: V-F LZ compression + channel coding for the WTC.

# Minimum Local Randomness

Consider the following representation of the encoder

$$x_{im+1}^{im+m} = a(u_{ik+1}^{ik+k}, s_i^e, b_{ij+1}^{ij+j}),$$

where the  $b$ 's are purely random bits.

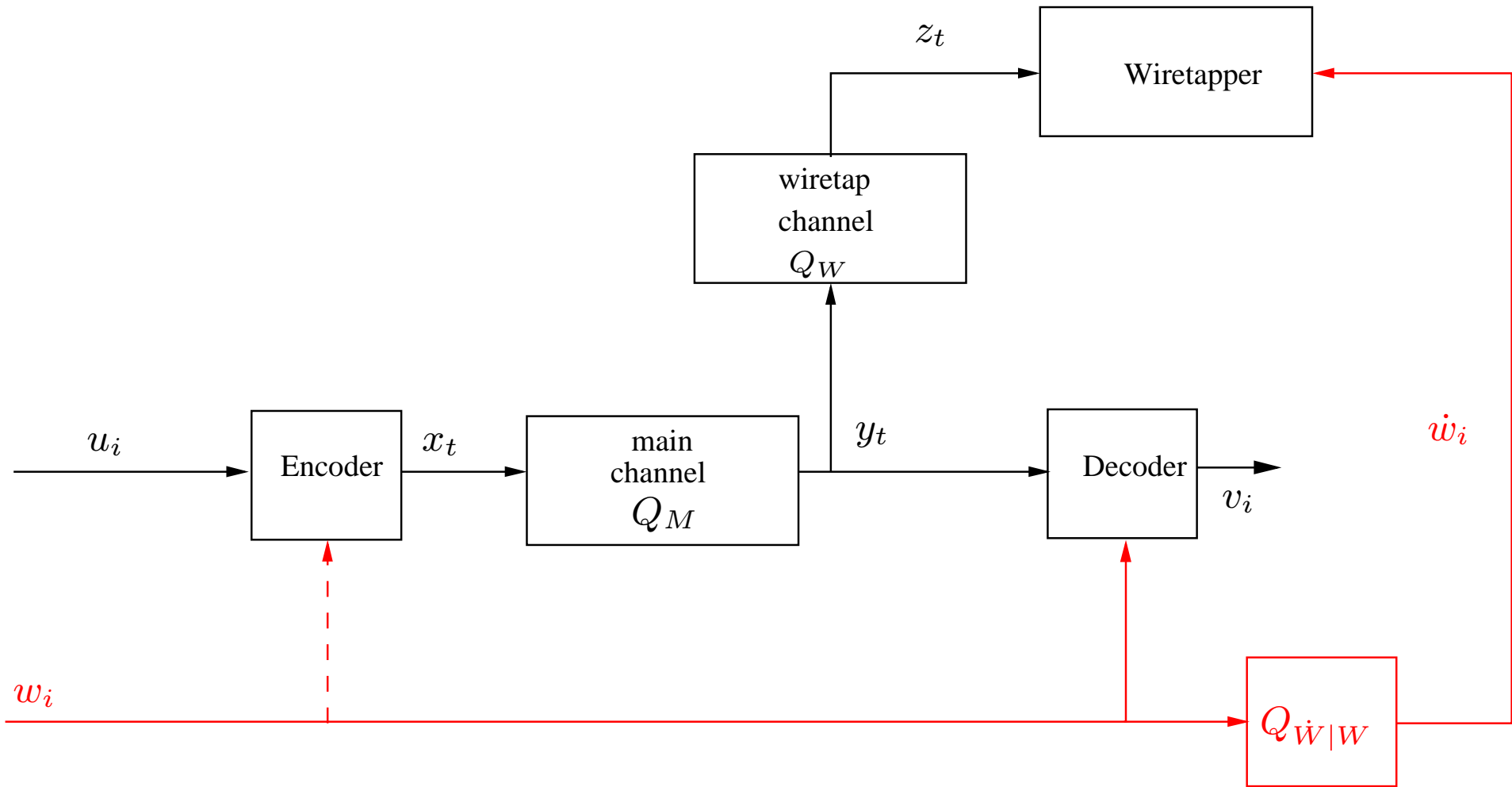
**Theorem:** Let  $\lambda$  meet the lower bound above. If  $\exists$  encoder with  $q_e$  states and a decoder with  $q_d$  states that satisfy the reliability constraint and the security constraint, then

$$j \geq mI(X^*; Z^*) - k\epsilon_s - \frac{\log q_e}{\ell}$$

where  $X^*$  is the random variable that achieves  $C_s$  and  $\ell$  is the achiever of  $\zeta_n(q_d, k)$ .

Wyner's coding scheme achieves this lower bound.

# Side Information



# Conditional LZ Complexity

$c(u^n, w^n)$  = number of distinct phrases of  $(u^n, w^n)$ .

$c(w^n)$  = resulting number of distinct phrases of  $w^n$ ,

$w(l)$  = the  $l$ -th distinct  $w$ -phrase,  $l = 1, 2, \dots, c(w^n)$ .

$c_l(u^n | w^n)$  = number of distinct  $u$ -phrases that jointly appear with  $w(l)$ .

$$\rho_{LZ}(u^n | w^n) \triangleq \frac{1}{n} \sum_{l=1}^{c(w^n)} c_l(u^n | w^n) \log c_l(u^n | w^n).$$

# Achievable Lower Bound

**Theorem:** If  $\exists$  a stochastic encoder with  $q_e$  states and a decoder with  $q_d$  states that satisfy the reliability constraint and the security constraint, then

$$\lambda \geq \frac{\rho_{LZ}(u^n|w^n) - \Delta(\epsilon_r) - \epsilon_s - \eta_n(q_e \cdot q_d, k)}{C_s}.$$

# Discussion

- ♣ Irrelevance of the SI channel  $Q_{\dot{W}|W}$ . Same as if  $\dot{w} = w$ .
- ♣ Now depends on  $q_e$  too (unless  $\dot{w} = w$ ).
- ♣ Achievability: if  $\dot{w}$  is available to the encoder - LZ with SI. Otherwise, needs a little feedback.